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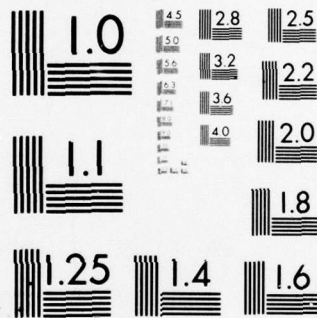
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Beam Deflection Caused by Antenna Phase Errors

RONALD I. FANTE



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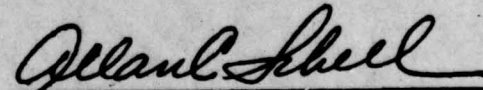
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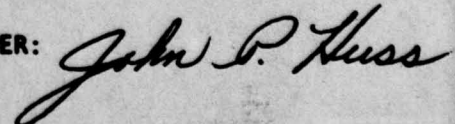
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Beam Deflection Caused by Antenna Phase Errors

1. INTRODUCTION

The beam deflection ρ_c caused by an arbitrary perturbation can be defined as

$$\rho_c = \frac{\iint_{-\infty}^{\infty} d^2\rho \, I(\underline{\rho}) \, \underline{\rho}}{\iint_{-\infty}^{\infty} I(\underline{\rho}) \, d^2\rho}, \quad (1)$$

where $I(\underline{\rho})$ is the intensity of the radiated beam. If we take the ensemble average of (1) we find that $\langle \rho_c \rangle = 0$, because the beam is equally likely to be deflected in all directions. The mean square deflection $\langle \rho_c^2 \rangle$ is not zero, however, and is given by

$$\langle \rho_c^2 \rangle = \frac{\iint_{-\infty}^{\infty} d^2\rho \, d^2\rho' \, \underline{\rho} \cdot \underline{\rho}' \, \langle I(\underline{\rho}) \, I(\underline{\rho}') \rangle}{\left[\iint_{-\infty}^{\infty} d^2\rho \, \langle I(\underline{\rho}) \rangle \right]^2}. \quad (2)$$

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We will now proceed to compute $\langle I \rangle$ and $\langle I(\underline{\rho}) I(\underline{\rho}') \rangle$.

If depolarization effects are ignored, it is easy to show that the field radiated, in the Fraunhofer approximation by a planar aperture A with a random phase perturbation, $\Phi(\underline{\rho}_1)$, is

$$E(\underline{\rho}) = \iint_{-\infty}^{\infty} d^2 \rho_1 e_o(\underline{\rho}_1) \exp \left\{ i \frac{k_o}{r} (\underline{\rho} \cdot \underline{\rho}_1) + \Phi(\underline{\rho}_1) \right\} , \quad (3)$$

where $e_o(\underline{\rho}_1)$ is the aperture field distribution, $\dagger \rho_1 = (x_1, y_1)$, k_o is the vacuum wavenumber and r is the distance from the center of the aperture to the field point. In writing (3), we have ignored depolarization and neglected a factor $r^{-1} \exp(-i k_o r)$. If we now assume that Φ is a stationary gaussian random variable, it is readily shown that $\langle I \rangle = \langle EE^* \rangle$ is given by

$$\begin{aligned} \langle I(\underline{\rho}) \rangle = & \iint_{-\infty}^{\infty} d^2 \rho_1 d^2 \rho_2 e_o(\underline{\rho}_1) e_o^*(\underline{\rho}_2) \exp \left\{ i \frac{k_o}{r} \underline{\rho} \cdot (\underline{\rho}_1 - \underline{\rho}_2) \right. \\ & \left. - \sigma^2 + \sigma^2 R(|\underline{\rho}_1 - \underline{\rho}_2|) \right\} , \end{aligned} \quad (4)$$

where $\langle \rangle$ denotes an ensemble average, $\sigma^2 \equiv \langle \Phi^2 \rangle$, and

$$\sigma^2 R(|\underline{\rho}_1 - \underline{\rho}_2|) = \langle \Phi(\underline{\rho}_1) \Phi(\underline{\rho}_2) \rangle . \quad (5)$$

Also

$$\begin{aligned} \langle I(\underline{\rho}) I(\underline{\rho}') \rangle = & \iiint_{-\infty}^{\infty} d^2 \rho_1 d^2 \rho_2 d^2 \rho_3 d^2 \rho_4 e_o(\underline{\rho}_1) e_o^*(\underline{\rho}_2) e_o(\underline{\rho}_3) e_o^*(\underline{\rho}_4) \\ & \cdot \exp \left\{ i \frac{k_o}{r} [\underline{\rho} \cdot (\underline{\rho}_1 - \underline{\rho}_2) + \underline{\rho}' \cdot (\underline{\rho}_3 - \underline{\rho}_4)] - \sigma^2 \right. \\ & \left. [2 - R_{12} + R_{13} - R_{14} - R_{23} + R_{24} - R_{34}] \right\} , \end{aligned} \quad (6)$$

[†]Footnote: The form in (3) is also appropriate for Fresnel zone calculations of $I(\underline{\rho})$, provided we replace r by z and replace $e_o(\underline{\rho}_1)$ by $e_o(\underline{\rho}_1) \exp(-i k_o \rho_1^2 / 2z)$, where z is the distance normal to the aperture surface. This same statement applies also to (5) and (6).

where $R_{st} = R(|\underline{\rho}_s - \underline{\rho}_t|)$. It is quite difficult to evaluate the integrals in (4) and (6) for an arbitrary value of σ^2 ; when $\sigma^2 \ll 1$ and $\sigma^2 \gg 1$, however, it is possible to obtain approximate expressions for $\langle I \rangle$ and $\langle II' \rangle$.

2. WEAK PHASE FLUCTUATIONS

When $\sigma^2 \ll 1$, we may expand $\exp(\sigma^2 R_{st})$ in a Taylor series. We then obtain, correct to second order in σ

$$\langle I(\underline{\rho}) \rangle = e^{-\sigma^2} |h(\underline{\rho})|^2 + \sigma^2 e^{-\sigma^2} C(\underline{\rho}) , \quad (7)$$

$$\begin{aligned} \langle I(\underline{\rho}) I(\underline{\rho}') \rangle = & e^{-2\sigma^2} |h(\underline{\rho})|^2 |h(\underline{\rho}')|^2 + \sigma^2 e^{-2\sigma^2} \left\{ |h(\underline{\rho})|^2 C(\underline{\rho}') \right. \\ & + |h(\underline{\rho}')|^2 C(\underline{\rho}) + 2 \operatorname{Re} [h(\underline{\rho}') h^*(\underline{\rho}) D(\underline{\rho}, \underline{\rho}')] \\ & \left. - 2 \operatorname{Re} [h^*(\underline{\rho}) h(\underline{\rho}') F(\underline{\rho}, \underline{\rho}')] \right\} + o(\sigma^4) , \end{aligned} \quad (8)$$

where Re denotes "real part of" and

$$h(\underline{\rho}) = \iint_{-\infty}^{\infty} d^2 \rho_1 e_o(\underline{\rho}_1) \exp \left[i \frac{k_o}{r} \underline{\rho} \cdot \underline{\rho}_1 \right] , \quad (9)$$

$$C(\underline{\rho}) = \iint_{-\infty}^{\infty} d^2 \rho_1 d^2 \rho_2 e_o(\underline{\rho}_1) e_o^*(\underline{\rho}_2) R(|\underline{\rho}_1 - \underline{\rho}_2|) \exp \left[i \frac{k_o}{r} \underline{\rho} \cdot (\underline{\rho}_1 - \underline{\rho}_2) \right] , \quad (10)$$

$$\begin{aligned} D(\underline{\rho}, \underline{\rho}') = & \iint_{-\infty}^{\infty} d^2 \rho_1 d^2 \rho_2 e_o(\underline{\rho}_1) e_o^*(\underline{\rho}_2) R(|\underline{\rho}_1 - \underline{\rho}_2|) \\ & \exp \left[i \frac{k_o}{r} (\underline{\rho} \cdot \underline{\rho}_1 - \underline{\rho}' \cdot \underline{\rho}_2) \right] , \end{aligned} \quad (11)$$

$$F(\underline{\rho}, \underline{\rho}') = \iint_{-\infty}^{\infty} d^2 \rho_1 d^2 \rho_2 e_o(\underline{\rho}_1) e_o(\underline{\rho}_2) R(|\underline{\rho}_1 - \underline{\rho}_2|) \exp \left[i \frac{k_o}{r} (\underline{\rho} \cdot \underline{\rho}_1 + \underline{\rho}' \cdot \underline{\rho}_2) \right]. \quad (12)$$

If we now substitute[†] (7) and (8) into (2) and ignore terms of order σ^4 and smaller, we find that

$$\langle \rho_c^2 \rangle = \frac{\sigma^2 \iint_{-\infty}^{\infty} d^2 \rho d^2 \rho' \underline{\rho} \cdot \underline{\rho}' M(\underline{\rho}, \underline{\rho}')}{\left[\iint_{-\infty}^{\infty} d^2 \rho |h(\underline{\rho})|^2 \right]^2}, \quad (13)$$

where

$$M(\underline{\rho}, \underline{\rho}') = 2 \operatorname{Re} \left[h(\underline{\rho}') h^*(\underline{\rho}) D(\underline{\rho}, \underline{\rho}') \right] - 2 \operatorname{Re} \left[h^*(\underline{\rho}) h^*(\underline{\rho}') F(\underline{\rho}, \underline{\rho}') \right]. \quad (14)$$

In order to easily evaluate the integrals for h , D , and F , we shall assume that

$$e_o(\underline{\rho}_1) = \exp \left(-\frac{\rho_1^2}{L^2} \right), \quad (15)$$

where

$$R(|\underline{\rho}_1 - \underline{\rho}_2|) = \exp \left(-\frac{|\underline{\rho}_1 - \underline{\rho}_2|^2}{a^2} \right). \quad (16)$$

We next substitute (15) and (16) into (9), (11) and (12) and evaluate the integrals. The results for h , D , and F are then substituted into (13), which can be evaluated using the result

[†]Footnote: We do not calculate $\langle \rho_s^2 \rangle$ for the case when $\sigma^2 \ll 1$ because there is generally very little beam broadening.

$$\iint_{-\infty}^{\infty} d^2 \rho \, d^2 \rho' \, \underline{\rho} \cdot \underline{\rho}' \exp \left[-\beta(\rho^2 + \rho'^2) \pm \gamma \underline{\rho} \cdot \underline{\rho}' \right] = \frac{\pm \pi^2 \gamma}{2 \left(\beta^2 - \frac{\gamma^2}{4} \right)^2} . \quad (17)$$

The final answer is

$$\langle \sin^2 \theta_c \rangle = \frac{\langle \rho_c^2 \rangle}{r^2} = \left[\frac{2\sigma \left(\frac{a}{L} \right)}{k_o L \left(1 + \frac{a^2}{L^2} \right)} \right]^2 \quad (18)$$

where θ_c is the angular deflection of the beam.

3. DISCUSSION AND CONCLUSIONS

Note that in deriving (18), we have not made any assumptions about the relative sizes of a and L . When $a \gg L$ we see that $\theta_c \simeq 2\sigma/(k_o a)$, so that $\theta_c \rightarrow 0$ for $a \rightarrow \infty$, as expected. When $a = L$ we find that θ_c is a maximum, and has the value $\theta_c = \sigma/(k_o L)$. Finally when $a \ll L$, we find $\theta_c \simeq 2a\sigma/(k_o L^2)$. This last result is useful in reflector antenna design, because in that case we can set[†] $\sigma = 2k_o \delta$ where δ is the tolerance error on the height of the surface imperfections. We can then rewrite (18) as

$$\frac{\theta_c}{\theta_B} = 5.64 \pi \left(\frac{\delta}{\lambda} \right) \left(\frac{a}{L} \right) \quad (19)$$

where λ is the signal wavelength and θ_B is the beamwidth of the main beam of the antenna, and is defined by $\theta_B^2 = 2/(k_o L)^2$. Note that although $\theta_c/\theta_B \ll 1$, the deflection θ_c can still be important especially for high-accuracy monopulse systems.

As a numerical example, suppose $\delta = \lambda/32$ and $a/L = 1/5$. Then $\theta_c/\theta_B = 0.11$, which is a significant deflection.

[†]Footnote: When the phase fluctuations are produced by a turbulent medium over the antenna it is appropriate to replace $k_o^2/4 \int dz \int dz' \langle n(z) n(z') \rangle$ where n is the index of refraction of the medium.